

# Persistent identifiers – the needs of Funders

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Thursday 6th September 2012

# Summary requirements

- **Research funding** – identifying co-funders and follow-on funding
- **Research eligibility and reporting** – identifying organisations & departments
- **Research application reviewing** – identifying expertise and conflicts of interest
- **Research facilities** – identifying eligibility for use of facilities international facilities & financial equivalents of facilities time
- **Research outputs & impacts** – identifying collaborators and co-authors, attribution of impact
- **Research publication payments** – identifying which organisation and country paid the APC on OA publications
- **Research outputs linked to research grants** - is there a need for a grants DOI service
- **Research careers** – how to track career development and national strength of disciplines
- **Research classifications** – national and international classifications to identify synergies and overlap

# Identifying Funders (1)

- Thompson – Web of Science
- Elsevier- (FundRef)
- National collaborations (e.g. UK Funders Forum, AMRC, LWEC)
- EU – via ERA-Nets

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Topic

Topic

Title

Author

ResearcherID

Group Author

Editor

Publication Name

DOI

Year Published

Address

Organization-Enhanced

Conference

Language

Document Type

Funding Agency

Grant Number

Accession Number

n

n

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Funding Agency	Grant Number
Aquatic Ecology by Research MSc programme within SBCS	
NERC	NE/F004753/1 NE/D013305/1 NE/C002105/1
Ramon y Cajal Fellowship	RYC-2008-03664
Generalitat de Catalunya	2009SGR142

[\[Hide funding text\]](#)

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# Identifying Funders (2)

- EU Research Organisations
  - European Research Council; JRC; European Science Foundation; Science Europe; ERA-Nets; thematic groups - e.g. PEER, EuroGeoSurveys, ASSEMBLE, )
- EU Publication Databases
  - CORDIS; DRIVER; OpenAire
- EU Datacentres (e.g. Co-Data, “Riding the Wave”)

# Identifying Funders 3 (ERA-Nets)

Coordination Action (CA)	Specific Support Action (SSA)
<ol style="list-style-type: none"> <li>1. <a href="#">ACENET ERA-NET</a>: Project reference:CA-011784</li> <li>2. <a href="#">AirTN</a>: Project reference:CA 518480</li> <li>3. <a href="#">ALLIANCE-O</a>: Project reference:CA-011853</li> <li>4. <a href="#">AMPERA ERA-NET</a>: Project reference:CA 016165</li> <li>5. <a href="#">ASPERA</a>: Project reference:CA-036195</li> <li>6. <a href="#">ASTRONET</a>: Project reference:CA 026075</li> <li>7. <a href="#">BiodivERSA</a>: Project reference:CA 517836</li> <li>8. <a href="#">BONUS</a>: Project reference:CA-510204</li> <li>9. <a href="#">CIRCLE</a>: Project reference:CA 026058</li> <li>10. <a href="#">CoCanCPG</a>: Project reference:CA 026070</li> <li>11. <a href="#">COMPERA</a>: Project reference:CA 517844</li> <li>12. <a href="#">COMPLEXITY-NET</a>: Project reference:CA-036195</li> <li>13. <a href="#">CORE Organic</a>: Project reference:CA-011716</li> <li>14. <a href="#">CO-REACH</a>: Project reference:CA 01685</li> <li>15. <a href="#">CORNET</a>: Project reference:CA 016229</li> <li>16. <a href="#">CRUE</a>: Project reference:CA-515742</li> <li>17. <a href="#">ECORD</a>: Project reference:CA-510218</li> <li>18. <a href="#">ERA-AGE</a>: Project reference:CA 016166</li> <li>19. <a href="#">ERA-ARD</a>: Project reference:CA 517837</li> <li>20. <a href="#">ERABUILD</a>: Project reference:CA-515790</li> <li>21. <a href="#">ERA-CHEMISTRY</a>: Project reference:CA-510192</li> <li>22. <a href="#">ERA-IB</a>: Project reference:CA-035581</li> <li>23. <a href="#">ERA-NET BIOENERGY</a>: Project reference:CA-515738</li> <li>24. <a href="#">ERA-NET ROAD</a>: Project reference:CA 016103</li> <li>25. <a href="#">ERA-NET TRANSPORT</a>: Project reference:CA 517834</li> <li>26. <a href="#">ERA-PG</a>: Project reference:CA-510189</li> <li>27. <a href="#">ERA-SAGE</a>: Project reference:CA 016226</li> <li>28. <a href="#">EraSME</a>: Project reference:CA-011725</li> <li>29. <a href="#">ERA-SPOT</a>: Project reference:CA 016224</li> <li>30. <a href="#">ERA-STAR REGIONS</a>: Project reference:CA-515793</li> <li>31. <a href="#">ERASysBio</a>: Project reference:CA 023212</li> <li>32. <a href="#">E-RARE</a>: Project reference:CA-036248</li> <li>33. <a href="#">ETRANET</a>: Project reference:CA-510185</li> <li>34. <a href="#">EULANEST</a>: Project reference:CA-036271</li> <li>35. <a href="#">EUPHRESCO</a>: Project reference:CA-036212</li> <li>36. <a href="#">EUROPOLAR</a>: Project reference:CA 517842</li> <li>37. <a href="#">EUROTRANS-BIO</a>: Project reference:CA-011718</li> <li>38. <a href="#">EURYI</a>: Project reference:CA-510191</li> <li>39. <a href="#">EU-SEC</a>: Project reference:CA-011823</li> <li>40. <a href="#">EUWI</a>: Project reference:CT-2006-036268</li> <li>41. <a href="#">FENCO</a>: Project reference:CA 016210</li> <li>42. <a href="#">FORSOCIETY</a>: Project reference:CA-011832</li> <li>43. <a href="#">HERA</a>: Project reference:CA 016179</li> <li>44. <a href="#">HESCUAEP</a>: Project reference:CA-510232-</li> <li>45. <a href="#">HY-CO</a>: Project reference:CA-011744</li> <li>46. <a href="#">IMERA</a>: Project reference:CA 016220</li> <li>47. <a href="#">INNER</a>: Project reference:CA 016186</li> <li>48. <a href="#">IWRM.NET</a>: Project reference:CA 026025</li> <li>49. <a href="#">MANUNET</a>: Project reference:CT-2006-36229</li> <li>50. <a href="#">MARIFISH</a>: Project reference:CA 025989</li> <li>51. <a href="#">MARINERA</a>: Project reference:CA-515871</li> <li>52. <a href="#">MARTEC</a>: Project reference:CA 026101</li> <li>53. <a href="#">MATERA</a>: Project reference:CA 016102</li> <li>54. <a href="#">MNT ERA-NET</a>: Project reference:CA 517827</li> <li>55. <a href="#">NanoSci-ERA</a>: Project reference:CA 016146</li> <li>56. <a href="#">NET-BIOME</a>: Project reference:CA-510218</li> <li>57. <a href="#">NEURON</a>: Project reference:CA-036213</li> <li>58. <a href="#">NEW-OSH-ERA</a>: Project reference:CA 026045</li> </ol>	<ol style="list-style-type: none"> <li>1. <a href="#">ANIMAL WELFARE</a>: Project reference:SSA-510193</li> <li>2. <a href="#">CID-ERA</a>: Project reference:SSA-003230</li> <li>3. <a href="#">CIRCLE</a>: Project reference:SSA-011793</li> <li>4. <a href="#">COASTAL-ERA</a>: Project reference:SSA 016189</li> <li>5. <a href="#">CoCanCPG</a>: Project reference:SSA-003239</li> <li>6. <a href="#">COMPLEXITY-NET</a>: Project reference:SSA-011810</li> <li>7. <a href="#">CORNET</a>: Project reference:SSA-003256</li> <li>8. <a href="#">ENMatSSA</a>: Project reference:SSA-3187</li> <li>9. <a href="#">EOWIN</a>: Project reference:SSA-510184</li> <li>10. <a href="#">ERA-CARE</a>: Project reference:SSA 016054</li> <li>11. <a href="#">E-Rare</a>: Project reference:SSA-510187</li> <li>12. <a href="#">ERCH</a>: Project reference:SSA-510181</li> <li>13. <a href="#">EUROSCENE 2003</a>: Project reference:SSA-510213</li> <li>14. <a href="#">EUWI-ERA-NET</a>: Project reference:SSA 517838</li> <li>15. <a href="#">FENCO</a>: Project reference:SSA-510222</li> <li>16. <a href="#">FORSOCIETY</a>: Project reference:SSA-3231</li> <li>17. <a href="#">INCONET</a>: Project reference:SSA-011821</li> <li>18. <a href="#">IWRM.Net</a>: Project reference:SSA-003223</li> <li>19. <a href="#">MANUNET</a>: Project reference:SSA-011759</li> <li>20. <a href="#">NEURON</a>: Project reference:SSA-3220</li> <li>21. <a href="#">NORSAGE</a>: Project reference:SSA-510231</li> <li>22. <a href="#">PAIN-ERA</a>: Project reference:SSA-515760</li> <li>23. <a href="#">PERIAPT</a>: Project reference:SSA-510200</li> <li>24. <a href="#">PRIORITY MEDICINES</a>: Project reference:SSA-3214</li> <li>25. <a href="#">PROFORSAFE</a>: Project reference:SSA-510175</li> <li>26. <a href="#">VALOR</a>: Project reference:SSA-510225</li> </ol>

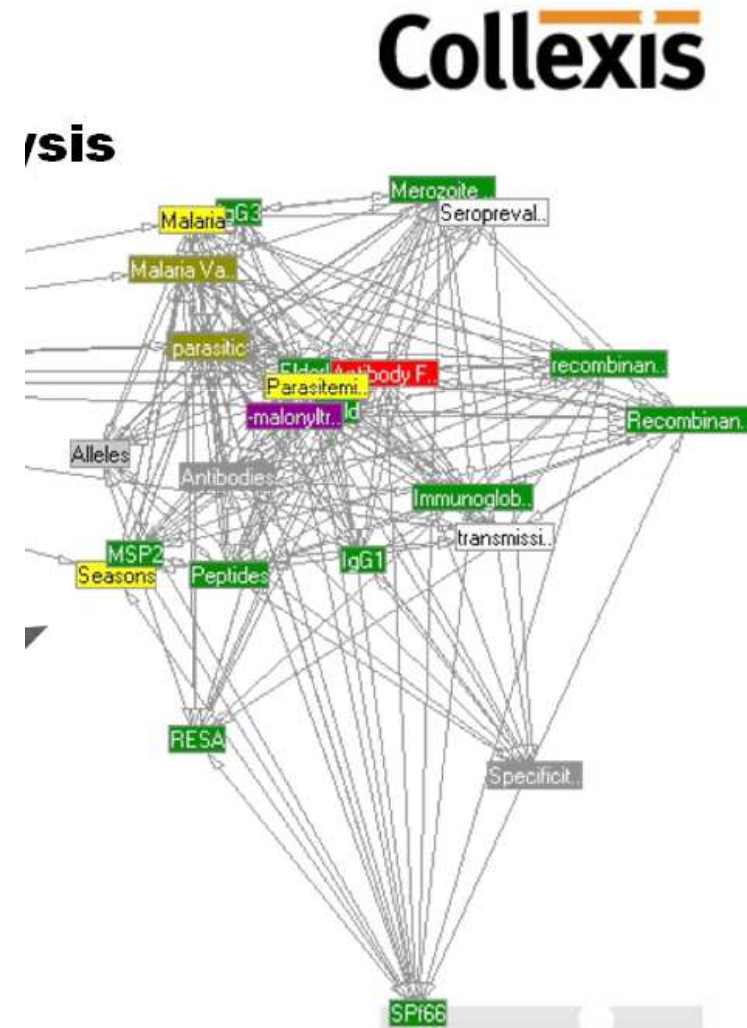
# Identifying Research Organisations

- Higher Education Institutions (164 in the UK)
- Independent Research Organisations (56 recognised by RCUK)
- Research Council Institutes (BBSRC 6, MRC 33, NERC 6, STFC 5)
- Research Organisations (RCUK fund 268 – including some overseas, but 460 have applied to NERC)
- UK “Departments” (1542 for NERC alone)
- HECFE “Campus Information” will clarify UK HEI department names annually from 2013 – and map in % terms to “Cost Centres” and REF “Units of Assessment”
- Make use of EU “Participant Identification Code” (PIC)?

Show 10 entries	Search:				
Name of the organization	PIC	Country	City	VAT number	Status
NATURAL ENVIRONMENT RESEARCH COUNCIL	999989200	UK	SWINDON WILTSHIRE	GB618367325	VALIDATED
Showing 1 to 1 of 1 entries			First Previous 1 Next Last		

# Identifying Reviewers

- Matching internal “College” members to applications
- Identifying external reviewers
- Identifying conflicts of interest
- Journals have similar needs for reviewers
- Use Subject classifications – e.g. RCUK 3-tier ‘research classification’
- NERC is investigating Elsevier-Collexis ‘fingerprinting’



# Research Facilities

- NERC (and STFC/MRC) provides ships, aircraft and a range of analytical equipment
- Link outputs to facilities
- International collaboration crucial
- Provide cash equivalent of competitively awarded facility time to Funding Councils for Research Excellence Framework
- Link people <> grants <> facilities <> data

# Research Outcomes

- Outcomes from RCUK grants are attributed grants/projects/programmes in [ROS](#) (AHRC, BBSRC, ESRC, EPSRC) and [Research Fish](#) (MRC, STFC)
- NERC is joining ROS from 1/1/2013 (2012 collection campaign)
- NERC [Citation Study](#) (2003-2010)
- ORCID will be integrated in ROS asap (should funders register all grant-holders?)
- Research Outputs:
  - Publications (book, journal, conference, report, other)
  - Other (bio/medmical, creative, electronic, physical, research materials, other)
  - Collaboration (partnership, panel, committee networks)
  - Further funding
  - Staff development
  - Dissemination
  - Intellectual Property (IP, exploitation mechanism)
  - Recognition (prize, medal, honorary degree, fellowship of learned society, editorial board, panel, other award)
  - Impact (summary, policy, business, third sector)



**Baseline bibliometric analyses  
of NERC-funded research, 2003-2010**

**May 2012**

## Contact details

Thomson Reuters (Evidence)	103 Clarendon Road, Leeds, LS2 9DF
t/	0113 384 5680
f/	0113 384 5874
e/	<a href="mailto:scientific.enquiries.evidence@thomson.com">scientific.enquiries.evidence@thomson.com</a>
w/	<a href="http://researchanalytics.thomsonreuters.com">http://researchanalytics.thomsonreuters.com</a>

# Open Access APC Payments

- Standard article-level metadata needed – hopefully in conjunction with publishers:
  - OA symbols on publishers sites
  - Access metadata in CrossRef (APC, Subscription free)
  - Copyright metadata in CrossRef (e.g. CC-BY)
  - Research funder information in [FundRef](#)
  - APC payment in [FundRef](#) (?)
- Need for publishers to disseminate metadata to repositories and aggregators (DRIVER, BASE etc) – either a) Repository Junction service, b) CrossRef Metadata service; c) WoS Web Service; d) SciVerse Web Services?
- Need for ‘faceted’ searches needed from aggregators – including Funder and GrantID fields?

## Open Access Articles



Open Access articles have unrestricted access and will remain permanently free for the public to read and download.

When you publish in an Elsevier journal, as an author, you retain the right to use your article for a broad range of purposes, including use by your institute or company, without the need to obtain specific permission from Elsevier. For further details see our [posting policy](#).

## RELATED LINKS

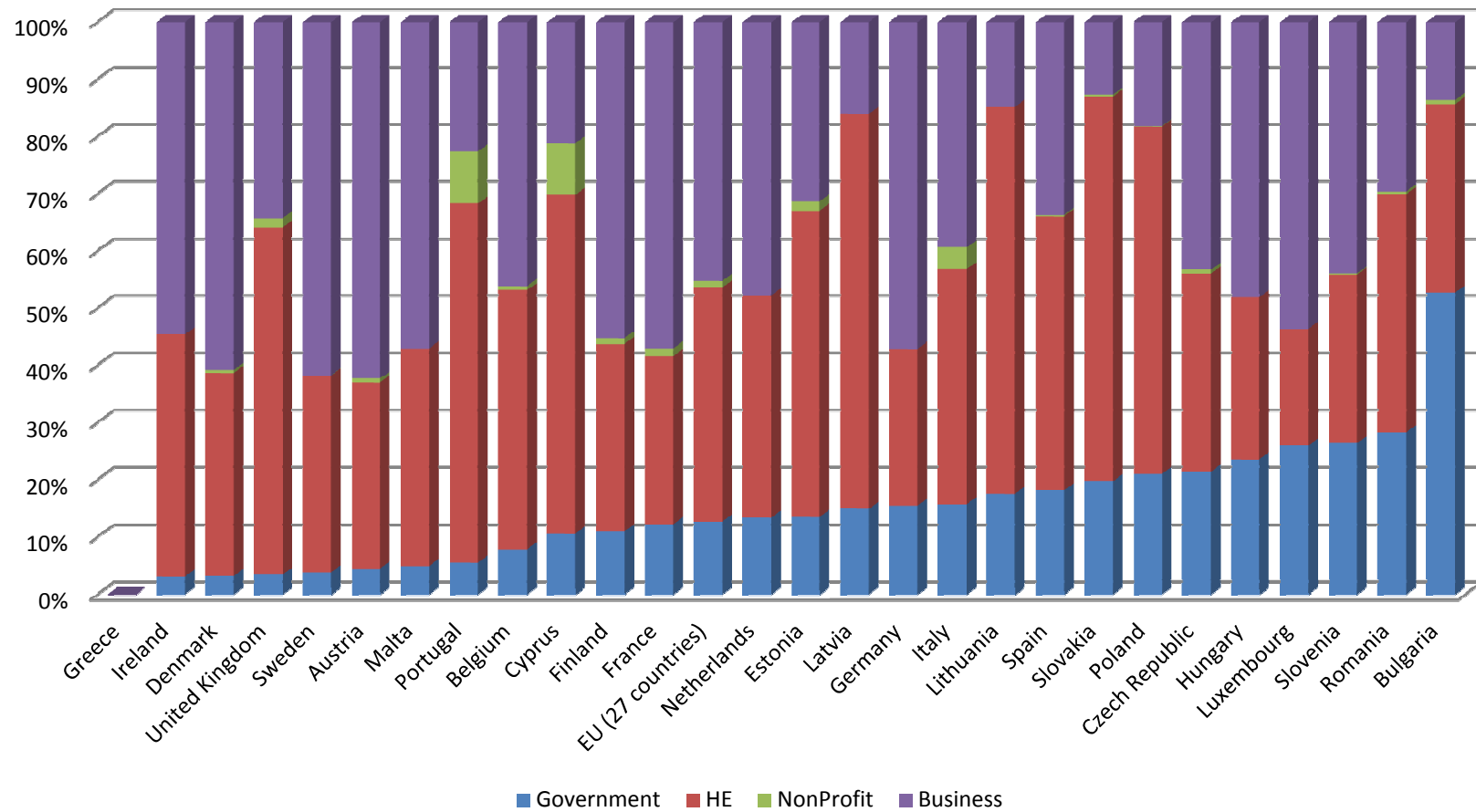
- [Access Initiatives](#)
- [Access Policies](#)
- [Funding Body Agreements](#)
- [Position Statements](#)

# Research Careers (RCUK/HESA/Vitae)

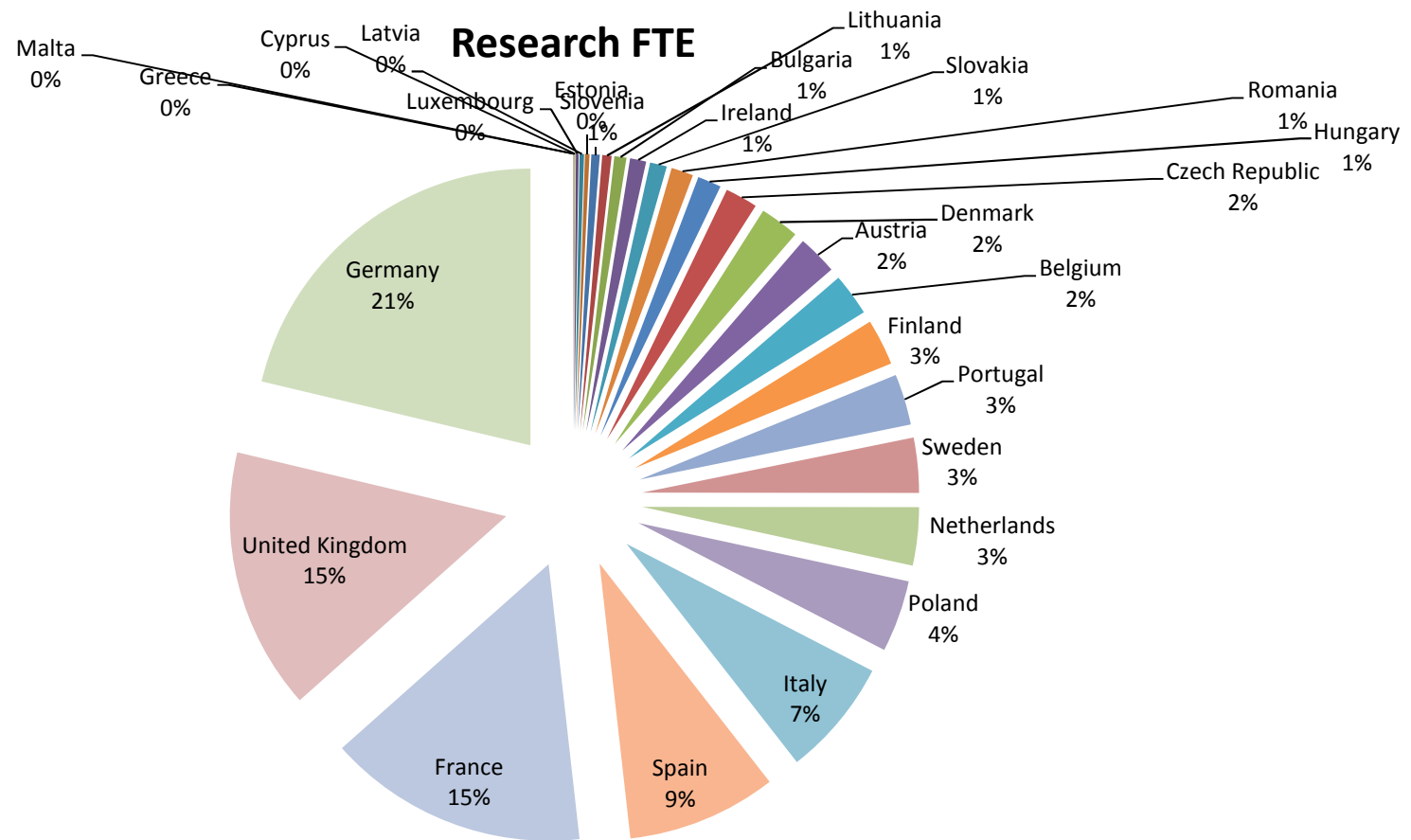
- UK Early careers [survey](#) of 4500 PhD leavers/yr (HESA)
- UK Longer-term [survey](#) of [careers](#) of selected PhDs (HESA)
- [Sustainability](#) of the UK research workforce (RCUK)
- [What do researchers do](#) (RCUK)
- Study on [mobility and careers](#) of EU Researchers
- [MORE2 Project](#) (mobility of European Researchers)

# Eu Research by Sectors

Proportion of Researchers by Sector (EuroStat 2010)



# Researchers by EU Country 2010



1.54 million researchers in Europe (Eurostat 2010) – A challenge for ORCID!