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# **Criteria for allocating credits from transformative agreements**

**Text approved by the Research and Innovation  
Committee**

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## Version history

Version	Date	Paragraphs	Description of the change
00	22/02/2022		Original document

Written by	Revised by	Approved by
Library and Learning Resources	Bego Aguilera Caballero 22/02/2022	Research and Innovation Committee 22/02/2022

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## 1. Criteria

- Researcher autonomy. Generally speaking, the University has no knowledge of the scientific publications that researchers submit to publishers, nor whether these are accepted. It only becomes aware of this when an article reaches the end of the peer review process, is approved and appears on the publisher's list of titles. Therefore, credits cannot usually be allocated until the end of the peer review process.
- Fairness vs. procedure. The allocation of credits must be as fair as possible, without introducing procedures that slow down publication.

Guidelines:

- Earmark one credit for each faculty and research centre.
  - Discuss with the eHealth Center to see if these credits are a good fit for its publishers of interest.
- Credit use. Efforts should be made to ensure that all the credits are used, and the guidelines in this regard should not de incentivize such use or make it more complex.

Guidelines:

- The current publication flows involving credits will remain unchanged, and the credits will be allocated on a first-come, first-served basis, after verifying that the author is a member of the UOC.
  - Credits will only be awarded to authors with an Open Researcher and Contributor ID identifier (ORCID iD) who are registered on the Researchers' Website (GIR). As an exception, doctoral students who have an ORCID iD but are not on the Researchers' Website may be accepted.
- Promoting open access publication. Credits are a tool for promoting open access publication, an activity undertaken by the UOC. Articles that do not receive a credit may be published at the expense of the research group or faculty, or they may be submitted to a closed access publication.
  - Strategic concepts. If strategic concepts are identified as shared priorities by all the faculties and research centres, credits may be earmarked to incentivize them. This might include strategic UOC research topics, research carried out by young researchers or research including the gender perspective.

Guideline:

- The UOC prioritizes interdisciplinary research in the human and social sciences, education, health and technology. If the requested credits outnumber those available, the Research and Innovation Committee will deliberate on whether to prioritize these areas.

## 2. Researcher commitments

With regard to the criteria, researchers must also fulfil the following commitments:

1. Be a member of the UOC when the article is accepted for publication and have an ORCID iD entered on the Researchers' Website.
2. Clearly identify the Universitat Oberta de Catalunya (UOC) as the author's affiliation in the article.
3. Deposit a copy of the article in the UOC's O2 Repository via the Researchers' Website.

## 3. Reinforcement actions

- The following reinforcement actions will also be carried out to ensure that the credits are used up:
- Dissemination campaigns will be ramped up every quarter, with specific information sessions for research staff.
- The "Publish with impact" service will be offered on request, providing guidance on high-impact scientific publications and transformative agreements to publish in open access.
- An updated report on article processing charges (APCs) and transformative credits will be submitted to the Research and Innovation Committee twice a year. This monitoring will serve as a basis for taking corrective measures where necessary.